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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,752	05/22/2001	Peter J. Kuzma	9905-20

CONFIRMATION NO. 9127

FORMALITIES LETTER



OC00000006331761

Eric K. Satermo
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Date Mailed: 07/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.


The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

12/27/2001 09:11:01 01 FC:101 740.00 DP
02 FC:102 04.00 DP
03 FC:103 130.00 DP

09/26/2001 09:11:01 01 FC:101 740.00 DP
02 FC:102 04.00 DP
03 FC:103 130.00 DP



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

093474-1304
03/26/2004

Applicant: **Peter J. Kuzma and Alan Green**
Title: **TRACKABLE FILES AND SYSTEMS FOR USING THE SAME**
Serial No.: **09/863,752**
Filed: **May 22, 2001**
Art Unit: **Unassigned**
Examiner: **Unassigned**
Agent's File Reference: **9905-20**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**RESPONSE TO
NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION dated July 23, 2001, a copy of which is attached, Applicant submits herewith:

1. a check in the amount of \$954 for:
 - a) the \$740 filing fee under 37 C.F.R. § 1.16(a);
 - b) the \$84 additional claim fee under 37 C.F.R. § 1.16(b); and
 - c) the \$130 surcharge under 37 C.F.R. § 1.16(e);
2. a DECLARATION AND AUTHORIZATION OF AGENT executed by Peter J. Kuzma;
3. a DECLARATION AND AUTHORIZATION OF AGENT executed by Alan Green; and
4. substitute formal drawings sheets numbered 1/9 to 9/9.

A PETITION FOR EXTENSION OF TIME is submitted herewith, extending the period of response to October 23, 2001.

Respectfully submitted,



Eric K. Satarmo
Registration No. 40,159

Dated: October 23, 2001

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